

ATTENTION ATTENTION ATTENTION

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Patent/TM/App/Serial # 10/711,778

Program Area Publishing

Date Processed 7/7/2006

ATTENTION ATTENTION ATTENTION



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants: Irene Chen et al.

Examiner: MCPHERSON, JOHN A

Art Unit: 1756

Patent No.: US 6,994,951 B1

Issue Date: 02/07/2006

Appl. No.: 10/711,778

Filing Date: 10/04/2004

Docket No.: UTEP0013USA

10

Title: METHOD OF FABRICATING A STAMPER BY HALF-TONE  
TECHNOLOGY

To: Commissioner for Patents

15

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Status Inquiry

20 Dear Sir,

The applicant submitted a "Request for refund of  
publication fee" of the above identified application on  
03/13/2006 (Attachment 1). And the return postcard with a  
25 timestamp of 03/14/2006 was received (Attachment 2). But the  
refund has not been received so far.

Please refund the publication fee \$300.00 to the deposit  
account no. 50-3105 as soon as possible. Your quick response  
30 in refunding the charge will be greatly appreciated.

02 FC:1504

300.00 CR

Respectfully submitted,

Winston Hsu

Date: JUN 26 2006

Winston Hsu, Patent Agent No. 41,526

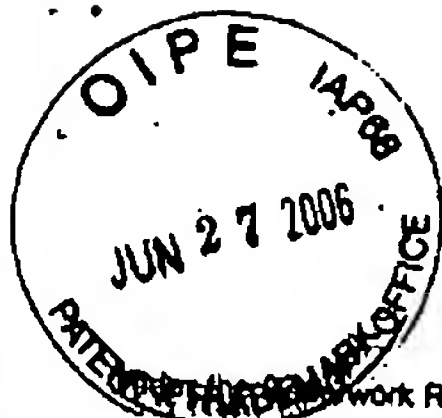
5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

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Attachment 1

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0551-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/711,778

Filing Date

10/04/2004

First Named Inventor

Irene Chen

Art Unit

1756

Examiner Name

MCPHERSON, JOHN A

Attorney Docket Number

UTEP0013USA

**ENCLOSURES**

(Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation☐ Change of Correspondence Address☐ Terminal Disclaimer☒ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify  
below):1. A copy of issue fee transmittal  
submitted on 9/20/20052. A copy of deposit account statement on  
9/21/20053. A copy of application data of app. No.  
10/711,778

Remarks

Request for refund of publication fee

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

North America Intellectual Property Corporation

Signature

*Winston Hsu*

Printed name

Winston Hsu

Date

MAR 13 2006

Reg. No.

41,526

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Signature

*Stephanie Lai*

Typed or printed name

Stephanie Lai

Date

MAR 13 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Irene Chen, Tien-Yu Chou, Jyh-Huei  
Lay, Yuan-Hung Wang, Jo-Wen Wu, Chin-Chen  
Yang, Chuan-Lun Hsu

Appl. No. : 10/711,778 Filing Date: 10/04/2004

Examiner : MCPHERSON, JOHN A Art Unit : 1756

Docket No.: UTEP0013USA

Title: METHOD OF FABRICATING A STAMPER BY HALF-TONE  
TECHNOLOGY

To : Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 09/20/2005 (Attachment 1). And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 09/21/2005 (Attachment 2). But the above-identified application was issued as a patent on 02/07/2006 without publication process (Attachment 3).

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

Sincerely yours,

Winston Hsu

Date: MAR 13 2006

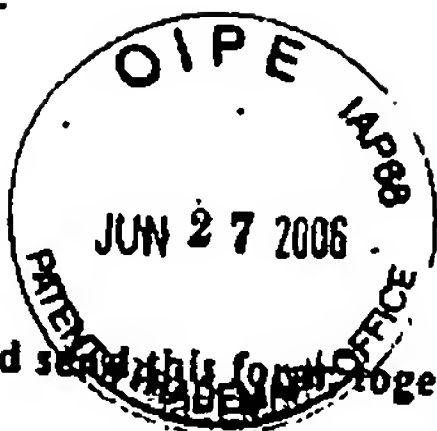
5 Winston Hsu, Patent Agent No. 41,526  
P.O. BOX 506, Merrifield, VA 22116, U.S.A.  
Voice Mail: 302-729-1562  
Facsimile: 806-498-6673  
e-mail : winstonhsu@naipo.com

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Attachment 1

PART B - FEE(S) TRANSMITTAL

Complete and send this form together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
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or **Fax** (703) 746-4000

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for advance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS** (Note: Use Block 1 for any change of address)

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**AIPC) NORTH AMERICA INTERNATIONAL PATENT**  
**FICE**  
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RRIFIELD, VA 22116

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**Certificate of Mailing or Transmission**  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

**Sammi Chang** (Depositor's name)  
*Sammi Chang* (Signature)  
**September 20, 2005** (Date)

ICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
778	10/04/2004	Irene Chen	UTEP0013USA	5777

**INVENTION:** METHOD OF FABRICATING A STAMPER BY HALF-TONE TECHNOLOGY

LN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
Provisional	NO	\$1400	\$300	\$1700	11/29/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
MCPHERSON, JOHN A	1756	430-320000

Correspondence address or indication of "Fee Address" (37 CFR 1.53(b)(2))

Indication of correspondence address (or Change of Correspondence form PTO/SB/122) attached.

Indication of "Fee Address" (Indication form 7; Rev 03-02 or more recent) attached. Use of a Customer is required.

2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

**Winston Hsu**

**NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT** (print or type)

NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

**OF ASSIGNEE**

Media Corp.

**(B) RESIDENCE: (CITY and STATE OR COUNTRY)**

No. 222, Hwa-Ya II Rd., Kuei-Shan Hsiang, Tao-Yuan Hsien, Taiwan.  
R.O.C.

Indicate appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

Indicate fee(s) are enclosed:

Indicate Fee (No small entity discount permitted)

Order - # of Copies

Indicate Status (from status indicated above)

Indicate claims SMALL ENTITY status. See 37 CFR 1.27.

Indicate if USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in by the records of the United States Patent and Trademark Office.

Signature *Winston Hsu*

Printed name **Winston Hsu**

Date **09/20/2005**

Registration No. **41,526**

Information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) if confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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09/21 187	10605457	AOIP0007USA	1504	\$300.00	\$23,407.00
09/21 190	10708942	CMDP0010USA	1501	\$1,400.00	\$22,007.00



Printer Friendly

10/711,778 METHOD OF FABRICATING A STAMPER BY HALF-TONE TECHNOLOGY

## Application Data

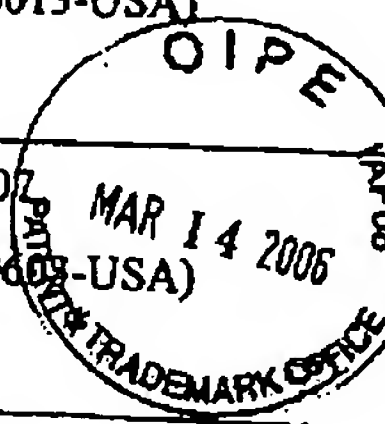
Application Number:	10/711,778	Customer Number:	-
Filing or 371 (c) Date:	10-04-2004	Status:	Patented Case
Application Type:	Utility	Status Date:	01-18-2006
Examiner Name:	MCPHERSON, JOHN A	Location:	ELECTRONIC
Group Art Unit:	1756	Location Date:	-
Confirmation Number:	5777	Earliest Publication No:	-
Attorney Docket Number:	UTEP0013USA	Earliest Publication Date:	-
Class / Subclass:	430/320	Patent Number:	6,994,951
First Named Inventor:	Irene Chen, Tao- Yuan Hsien, (TW)	Issue Date of Patent:	02-07-2006
Title of Invention:	METHOD OF FABRICATING A STAMPER BY HALF-TONE TECHNOLOGY		

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Attachment >

Request for a Refund of Publication fee

	Application No.	Enclosed Item(s)	Total Pages
1	10/711,778 (UTE-P0013-USA)	1. Transmittal 2. Statement 3. A copy of issue fee transmittal 4. A copy of deposit account statement 5. A copy of application data	6 pages
2	10/907,007 (NAU-P0609-USA)	1. Transmittal 2. Statement 3. A copy of issue fee transmittal 4. A copy of deposit account statement 5. A copy of application data	6 pages





Dep &amp; Ref

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/711,778	
	Filing Date	10/04/2004	
	First Named Inventor	Irene Chen	
	Art Unit	1756	
	Examiner Name	MCPHERSON, JOHN A	
Total Number of Pages in This Submission	10	Attorney Docket Number	UTEP0013USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. A copy of the "Request for refund of publication fee" submitted on 03/13/2006
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. A copy of the return postcard with a timestamp of 03/14/2006
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	JUN 26 2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING		
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Signature	<i>Stephanie Lai</i>	
Typed or printed name	Stephanie Lai	Date
		JUN 26 2006

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